

## 7. Advanced Counting Techniques, part II.

### 7.3 Divide-and-Conquer Algorithms and Recurrence Relations.

7.3.3)  $(1110)_2 \cdot (1010)_2 = 14 \cdot 10 = 140$

$$1110 = 2^2 A_1 + A_0, \quad A_1 = 11 \text{ and } A_0 = 10.$$

$$1010 = 2^2 B_1 + B_0, \quad B_1 = 10 \text{ and } B_0 = 10$$

$$1110 \cdot 1010 = 2^4 A_1 B_1 + 2^2 (A_1 B_0 + B_1 A_0) + A_0 B_0$$

We can reduce the number of multiplications with one!

$$1110 \cdot 1010 = (2^4 + 2^2) A_1 B_1 + 2^2 ((A_1 - A_0)(B_0 - B_1)) + (2^2 + 1) A_0 B_0$$

$f(n)$  = # bit operations to multiply  $n$ -digit numbers.

$$f(4) = 3f(2) + C \cdot 2$$

generally

$$f(2n) = 3f(n) + C \cdot n$$

$$A_1 B_1 = 110$$

$$A_0 B_0 = 100$$

$$A_1 - A_0 = 1$$

$$B_0 - B_1 = 0$$

$$1110 \cdot 1010 = \begin{array}{r} 1100000 \\ 110000 \\ + 100000 \\ \quad 100 \\ \hline 10001100 \end{array}$$

$$128 + 8 + 4 = 140 \text{ ops.}$$

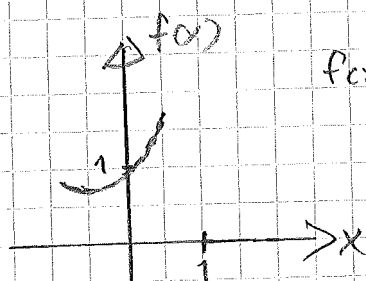
$f(n)$  is  $O(n^{\log_2 3})$ .

$\log_2 3 \approx 1.6$ . An improvement for large  $n$ !

## 7.4 Generating Functions

$X^a \cdot X^b \cdot X^c = X^{a+b+c}$ . Polynomials are nice can help us solving combinatorial problems!

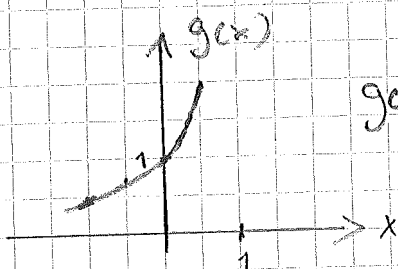
$$f(x) = \frac{x^3 - 1}{x - 1}$$



$$f(x) = 1 + 1 \cdot x + 1 \cdot x^2$$

$f(x)$  is the generating function for the sequence  $1, 1, 1, 0, 0, 0, \dots$

$$g(x) = \frac{1}{1-x}$$



$$g(x) = 1 + x + x^2 + x^3 + x^4 + \dots$$

$|x| < 1$

$g(x)$  is the generating function for the sequence  $1, 1, 1, 1, 1, 1, \dots$

It is OK to derivate term wise when  $|x| < 1$ .

$$h(x) = g'(x) = \frac{1}{(1-x)^2} = 1 + 2x + 3x^2 + 4x^3 + \dots$$

$h(x)$  is the generating function for the sequence  $1, 2, 3, 4, 5, \dots$

$$h(x) + g(x) = 2 + 3x + 4x^2 + 5x^3 + \dots$$

$$h(x) \cdot g(x) = 1 + 3x + 6x^2 + \dots$$

convenient notation is

$$(1-x)^{-2} = \sum_{k=0}^{\infty} \binom{-2}{k} (-x)^k$$

(extended binomial theorem)

With  $\binom{-2}{k}$  we mean  $\frac{-2 \cdot -3 \cdot -4 \cdots (-2-k+1)}{k!}$

$$= (-1)^k \cdot \frac{2 \cdot 3 \cdot 4 \cdots (2+k-1)}{k!} = (-1)^k \frac{(k+1)!}{k!} = (-1)^k (k+1)$$

so

$$(1-x)^{-2} = \sum_{k=0}^{\infty} (k+1) x^k$$

Get used to table 1!

Using GF to solve counting problems.  
3 children eating 17 cakes!

Ex)  $e_1 + e_2 + e_3 = 17$  (\*)

$$2 \leq e_1 \leq 5, \quad 3 \leq e_2 \leq 6, \quad 4 \leq e_3 \leq 7$$

How many integer solutions are there to (\*) with these restrictions?

We are seeking the coefficient in front of  $x^{17}$  for the following function

$$G(x) = (x^2 + x^3 + x^4 + x^5) (x^3 + x^4 + x^5 + x^6) (x^4 + x^5 + x^6 + x^7)$$

$\uparrow$  child 1                       $\uparrow$  child 2                       $\uparrow$  child 3

$$= x^9 + \dots + 3x^{12} + x^{18}$$

Let's "zip":  $G(x) = x^2 \cdot x^3 \cdot x^4 \cdot (1+x+x^2+x^3)^3$   
 $= x^9 \frac{(1-x^4)^3}{(1-x)^3}$

Let's "unzip" using Table 1

$$G(x) = x^9 \cdot (1 - 3x^4 + 3x^8 - x^{12}) \left(1 + 3x + \dots + \binom{k+2}{k} x^k + \dots\right)$$

How to get  $x^{17}$ ?

1)  $x^9 \cdot 1 \cdot x^8$ . The coefficient is  $\binom{10}{8}$ .

2)  $x^9 \cdot x^4 \cdot x^4$ . The coefficient is  $-3 \cdot \binom{6}{4}$ .

3)  $x^9 \cdot x^8 \cdot 1$ . The coefficient is 3.

So the coefficient in front of  $x^{17}$  is  $\binom{10}{8} - 3 \binom{6}{4} + 3 = 45 - 3 \cdot 15 + 3 = 3$

Ex)  $e_1 + e_2 + e_3 = 17$ ,  $e_i \geq 0$   $i=1,2,3$   
 17 sticks 2 plus signs.  $\binom{17+2}{17} = \binom{19}{2} = 171$

With GF:

$$G(x) = (1+x+x^2+\dots) (1+x+x^2+\dots) (1+x+x^2+\dots)$$

$$= \frac{1}{(1-x)^3} = \sum_{k=0}^{\infty} \binom{k+2}{k} x^k$$

see table 1

Coefficient in front of  $x^{17}$  is  $\binom{19}{17}$ . ok

7.4.33

Solve the RR

$$(*) \quad a_k = 3a_{k-1} + 2, \quad a_0 = 1$$

using GF.

$G(x) = \sum_{k=0}^{\infty} a_k x^k$  denotes the GF for the sequence  $a_0, a_1, a_2, \dots$

We note that  $xG(x) = \sum_{k=0}^{\infty} a_k x^{k+1} = \sum_{k=1}^{\infty} a_{k-1} x^k$

Step 1) Multiply (\*)  $x^k$

$$a_k x^k = 3a_{k-1} x^k + 2x^k$$

Step 2) Sum from  $k=1$  to  $\infty$

$$\sum_{k=1}^{\infty} a_k x^k = 3 \sum_{k=1}^{\infty} a_{k-1} x^k + 2 \sum_{k=1}^{\infty} x^k$$

Using the expressions for  $G(x)$  and  $xG(x)$  above we get

$$G(x) - a_0 = 3xG(x) + \frac{2x}{1-x}$$

$a_0 = 1$  so

$$G(x) = \frac{1+x}{(1-x)(1-3x)} = \frac{2}{1-3x} - \frac{1}{1-x}$$

"unzip"

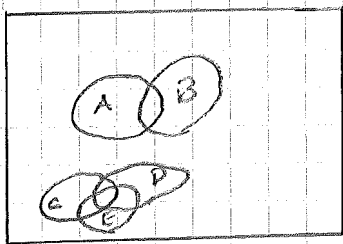
$$= 2 \sum_{k=0}^{\infty} 3^k x^k - \sum_{k=0}^{\infty} x^k \quad \text{so}$$

$$a_k = 2 \cdot 3^k - 1$$

5

7.5-7.6

## Inclusion-Exclusion



$$|A \cup B| = |A| + |B| - |A \cap B|$$

$$|C \cup D \cup E| = |C| + |D| + |E| - |C \cap D| - |C \cap E| - |D \cap E| + |C \cap D \cap E|$$

This term was added 3 times then subtracted 3 times so we have to add it once.

$$|A_1 \cup A_2 \cup A_3 \cup A_4| = \sum_{i=1}^4 |A_i| - \sum_{1 \leq i < j \leq 4} |A_i \cap A_j|$$

$$+ \sum_{1 \leq i < j < k \leq 4} |A_i \cap A_j \cap A_k| - |A_1 \cap A_2 \cap A_3 \cap A_4|$$

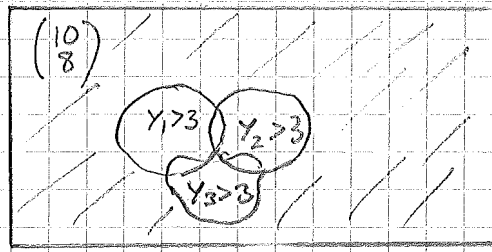
The elements that are common for all 4 sets are counted  $4 - 6 + 4 - 1 = 1$  time. ok!

EX) Let's have a look at example at page 3 again

$$e_1 = 2 + \gamma_1, \quad e_2 = 3 + \gamma_2, \quad e_3 = 4 + \gamma_3$$

so

$$\gamma_1 + \gamma_2 + \gamma_3 = 8, \quad 0 \leq \gamma_i \leq 3, \quad i=1,2,3$$



Without any upper restrictions there are  $\binom{10}{8}$  solutions, 8 sticks and 2 plus signs. We are seeking the number of elements in shaded region. The number of elements in white region can be calculated with inclusion-exclusion.

$$N(y_i > 3): \quad y_1 = 4 + u_1 \\ u_1 + y_2 + y_3 = 4 \quad \binom{6}{4} \text{ possibilities.}$$

$$N(y_1 > 3 \text{ and } y_2 > 3): \quad y_1 = 4 + u_1 \\ y_2 = 4 + u_2 \\ u_1 + u_2 + y_3 = 0 \quad 1 \text{ possibility.}$$

Finally

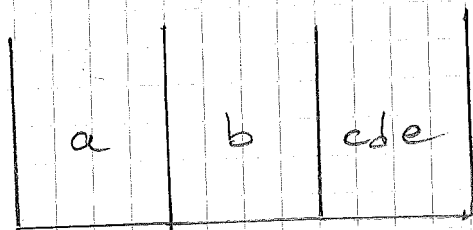
$$N = \binom{10}{8} - \left[ 3 \cdot \binom{6}{4} - 3 \cdot 1 + 0 \right] \\ = 45 - 3 \cdot 15 + 3 = 3.$$

## Number of onto functions

5 elements  $a, b, c, d, e$  shall be distributed into 3 containers in such a way that no container is empty

$$5 = 1 + 1 + 3 = 1 + 2 + 2$$

$$1 + 1 + 3$$



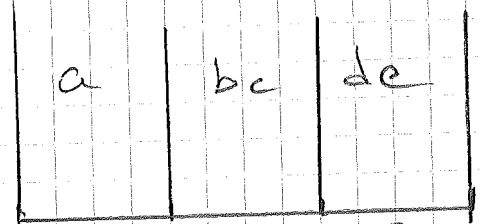
1

2

3

$$\binom{5}{3} \cdot 3! = 60$$

$$1 + 2 + 2$$



1

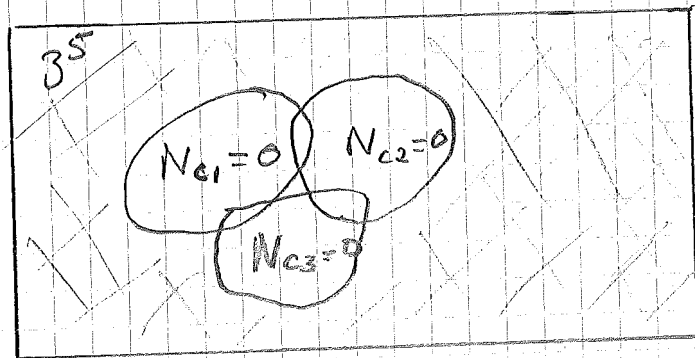
2

3

$$5 \cdot 6 \cdot 3 = 90$$

$$90 + 60 = 150.$$

with I-E



$N_{c1=0}$ :  $2^5$  such functions

$N_{c1=0} = N_{c2=0}$ :  $1^5 = 1$  such functions

# onto functions are =

$$3^5 - 3 \cdot 2^5 + 3 \cdot 1^5 - 0 = 243 - 96 + 3 = 150$$